Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE uction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

#### Application Number 10/825,486 Filing Date **TRANSMITTAL** April 16, 2004 First Named Inventor **FORM** Yun-Bok Lee Art Unit 2871 (to be used for all correspondence after initial filing) Examiner Name Not Yet Assigned Attorney Docket Number 8733.1026.00-US Total Number of Pages in This Submission

Drawing(s)	ENCLOSURES (Check all that apply)						
Amendment/Reply  After Final  After Final  Affidavits/declaration(s)  Extension of Time Request  Information Disclosure Statement  Certified Copy of Priority  Document(s)  Response to Missing Parts/  Incomplete Application  After Final  Petition  Proprietary Information  Status Letter  X Other Enclosure(s) (please identify below):  Combined Declaration and Power of Attorney  Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal)  Part 2 Copy of Notice  Recordation Form Cover Sheet;  Assignment of Application  Response to Missing Parts/ Incomplete Application  Response to Missing Parts	x Fee Transmittal Form	Drawing(s)					
After Final  After Final  Petition to Convert to a Provisional Application  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/  Incomplete Application  Aftidavits/declaration(s)  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  X Other Enclosure(s) (please identify below):  Combined Declaration and Power of Attorney Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal) Part 2 Copy of Notice Recordation Form Cover Sheet; Assignment of Application  Remarks  Remarks	X Fee Attached	Licensing-related Papers					
Affidavits/declaration(s)    Provisional Application   Proprietary miorimation     Affidavits/declaration(s)   Power of Attorney, Revocation     Change of Correspondence Address   X   Other Enclosure(s) (please identify below):   Express Abandonment Request   Request for Refund   Combined Declaration and Power of Attorney     Information Disclosure Statement   CD, Number of CD(s)   Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal)     Provisional Application   Status Letter     X   Other Enclosure(s) (please identify below):   Combined Declaration and Power of Attorney   Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal)     Provisional Application   Request   Proprietary miorimation     X   Other Enclosure(s) (please identify below):   Combined Declaration and Power of Attorney   Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal)     Provisional Application   Request   Proprietary miorimation     X   Other Enclosure(s) (please identify below):   Combined Declaration and Power of Attorney   Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal)     Provisional Application   Request   Proprietary miorimation   Proprietary miorimation   Proprietary miorimation   Proprietary miorimation     X   Other Enclosure(s) (please identify below):   Request for Refund   Power of Attorney   Power of At	Amendment/Reply	Petition					
Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Change of Correspondence Address  Terminal Disclaimer  X Other Enclosure(s) (please identify below):  Combined Declaration and Power of Attorney Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal) Part 2 Copy of Notice Recordation Form Cover Sheet; Assignment of Application  Response to Missing Parts/ Incomplete Application  Response to Missing Parts	After Final		Proprietary Information				
Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Request for Refund  CD, Number of CD(s)  Combined Declaration and Power of Attorney Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal) Part 2 Copy of Notice Recordation Form Cover Sheet; Assignment of Application  Remarks  Remarks	Affidavits/declaration(s)		Status Letter				
Information Disclosure Statement  Certified Copy of Priority Document(s)  Certified Copy of Priority Copy of Notice Recordation Form Cover Sheet; Assignment of Application  Response to Missing Parts/ Incomplete Application  Response to Missing Parts	Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):				
Information Disclosure Statement  Certified Copy of Priority Document(s)  Certified Copy of Priority Document(s)  Copy of Priority Document(s)  Copy of Priority Document(s)  Surcharge Fee for the Oath and Declaration (as shown on accompanying Fee Transmittal) Part 2 Copy of Notice Recordation Form Cover Sheet; Assignment of Application  Remarks  Remarks	Express Abandonment Request	Request for Refund	- +				
Certified Copy of Priority Document(s)  Part 2 Copy of Notice Recordation Form Cover Sheet; Assignment of Application  Response to Missing Parts/ Incomplete Application  Remarks	Information Disclosure Statement	CD, Number of CD(s)	Surcharge Fee for the Oath and Declaration (as shown on				
Incomplete Application  Response to Missing Parts  Response to Missing Parts			Part 2 Copy of Notice Recordation Form Cover Sheet;				
Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks	t				
	X Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm Valerie P. Hayes Registration No. 53,005							
Signature Valerie P. Hayes							
Date August 10, 2004	Date August 10, 2004	0					

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE on Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known **'RANSMITTAL** 10/825,486 **Application Number** April 16, 2004 for FY 2004 Filing Date Yun-Bok Lee First Named Inventor Effective 10/01/2003, Patent fees are subject to annual revision. **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 2871 Art Unit Attorney Docket No. 8733.1026.00-US TOTAL AMOUNT OF PAYMENT (\$) 170.00 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Credit Card x Check Other None 3. ADDITIONAL FEES Orde Deposit Account: Small Entity Large Entity Deposit 50-0911 Fee Fee Fee Description Fee Paid Number Code (\$) Code (\$) Deposit 1051 130 2051 65 Surcharge - late filing fee or oath 130.00 McKenna Long & Aldridge LLP Surcharge - late provisional filing fee or cover 1052 2052 50 25 The Director is authorized to: (check all that apply) X Credit any overpayments Charge fee(s) indicated below 1053 130 1053 130 Non-English specification 1812 2.520 1812 2,520 For filing a request for ex parte reexamination Charge any additional fee(s) or any underpayment of fee(s) Requesting publication of SIR prior to 920\* 1804 1804 Charge fee(s) indicated below, except for the filing fee Examiner action Requesting publication of SIR after to the above-identified deposit account. 1805 1805 1.840 1.840 Examiner action **FEE CALCULATION** 1251 110 2251 55 Extension for reply within first month 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month Large Entity **Small Entity** 1253 950 2253 Extension for reply within third month Fee Fee Description Fee Paid 2254 1254 1.480 740 Extension for reply within fourth month (\$) 2001 Utility filing fee 1255 2,010 2255 1,005 Extension for reply within fifth month 1001 770 385 170 1002 340 2002 Design filing fee 1401 330 2401 Notice of Appeal 165 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 160 2005 Provisional filing fee 1451 1.510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 Petition to revive - unavoidable 55 SUBTOTAL (1) (\$) 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.330 2501 665 Utility issue fee (or reissue) Fee from 1502 480 2502 240 Design issue fee Fee Paid Claim: Total Claims 1503 640 2503 -20\*\* 320 Plant issue fee Independent 1460 130 1460 Petitions to the Commissioner 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Multiple Dependent 1806 Large Entity Small Entity 1806 180 180 Submission of Information Disclosure Stmt Recording each patent assignment per Fee 40 00 Fee Description 8021 40 8021 40 Code (\$) Code (\$) property (times number of properties) Filing a submission after final rejection 1202 18 2202 9 Claims in excess of 20 770 1809 2809 385 (37 ČFR 1.129(a)) 1201 86 2201 43 Independent claims in excess of 3 For each additional invention to be 1810 770 2810 385 1203 290 2203 145 Multiple dependent claim, if not paid examined (37CFR 1.129(b)) 1204 86 2204 43 Reissue independent claims 1801 770 2801 Request for Continued Examination (RCE) over original patent Request for expedited examination

SUBMITTED BY			(Complete (if applicable))		
Name (Print/Type)	Valerie P. Hayes	Registration No. (Attorney/Agent)	53,005	Telephone	(202) 496-7564
Signature	Valerie P. Hayes			Date	August 10, 2004

900

Other fee (specify)

1802

Reduced by Basic Filing Fee Paid

900

of a design application

SUBTOTAL (3) (\$)

1802

Reissue claims in excess of 20

and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

1205

18

2205

170.00



Docket No.: 8733.1026.00-US

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yun -Bok Lee et al.

Application No.: 10/825,486

Filed: April 16, 2004

For: ARRAY SUBSTRATE FOR IN-PLANE

SWITCHING MODE LIQUID CRYSTAL

DISPLAY DEVICE AND METHOD OF

FABRICATING THE SAME

Customer No.: 30827

Confirmation No.: 8138

Art Unit: 2871

Examiner: Not Yet Assigned

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed June 28, 2004, Applicant respectfully submits a Combined Declaration and Power of Attorney, the applicable surcharge as set forth in 37 CFR 1.16(e) (as shown on accompanying Fee Transmittal), an Assignment (and Recordation Form Coversheet), and Part 2 Copy of Notice.

Our check in the amount of \$170.00 covering the fee set forth in 37 CFR 1.16(e) and the Assignment recordation fee are enclosed.

Application No.: 10/825,486 Docket No.: 8733.1026.00-US

Response dated August 10, 2004

Response to Notice to File Missing Parts dated June 28, 2004

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0911, under Order No. 8733.1026.00-US. A duplicate copy of this paper is enclosed.

Dated: August 10, 2004

Respectfully submitted,

Valerie P. Hayes

Registration No.: 53,005

MCKENNA LONG & ALDRIDGE LLP

1900 K Street, N.W. Washington, DC 20006

(202) 496-7500

Attorney for Applicant

TATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. DOX 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER FIRST NAMED APPLICANT FILING OR 371 (c) DATE ATTORNEY DOCKET NUMBER

10/825,486

04/16/2004

Yun-Bok Lee

8733.1026.00-US

**CONFIRMATION NO. 8138** 

30827 MCKENNA LONG & ALDRIDGE LLP

1900 K STREET, NW WASHINGTON, DC 20006 **FORMALITIES LETTER** \*OC00000013079918\*

Date Mailed: 06/28/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

# **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

130.00 08/11/2004 EABUBAK1 00000088 10825486

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Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE